ORDINANCE

for the award of Degree of

DOCTOR OF PHILOSOPHY
(Ph.D.)

(Effective from July 2022)

INTEGRAL UNIVERSITY

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INTEGRAL UNIVERSITY, U.P., LUCKNOW

ORDINANCE FOR THE AWARD OF DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.)

The following regulations are based on the University Grants Commission (UGC) Regulations (2022) and the Integral University (hereinafter called as the University) Statutory Acts 2006. Any updates in the guidelines by UGC or any other regulatory bodies from time to time shall overtake the existing regulations in this Ordinance.

1. **Eligibility:**

   A candidate seeking admission to the Doctor of Philosophy (Ph.D.) program of the University must hold at least one of the following qualifications:

   1.1. Candidates for admission to the Ph.D. programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.

   1.2. In addition, first division or 60% marks in aggregate or its equivalent grade 'A' in the UGC 7-point scale in M.Tech., MBA, MCA, M.Pharm, M.Arch., MD, MS, DM, MCH, MPTh, M.Sc.(Nursing), Diplomate/ Fellow of NBE or equivalent qualification in a relevant field shall be considered as a minimum qualification for admission to Ph.D. Programme in respective disciplines.

   1.3. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for the candidates belonging to SC/ST/OBC (non-creamy layers)/Differently-abled and other categories of candidates as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19th September 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever the grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

   1.4. Candidates possessing a Degree considered equivalent to M.Phil. Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized, or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme.

2. **Duration of the Programme**

   2.1. The duration of the programme for a candidate shall be counted from the date of his/her admission.

   2.2. Ph.D. programme shall be for a minimum duration of three years, including course work, and a maximum of six years for full-time candidates. The corresponding period for part-time candidates shall be four and six years, respectively.

   2.3. In exceptional cases, depending upon the merits and recommendation of the Research Development Committee (RDC) (Clause 6), the Vice Chancellor may extend the maximum duration as mentioned above, by a further period of one year for special reasons to be recorded in writing. Extension of duration may be granted a maximum of two times. If the candidate is unable to submit the thesis even within the extended duration, he/she shall cease to be a student of the University and the registration shall stand canceled automatically.

   2.4. The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for a Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of their Ph.D. for up to 240 days.

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2.5. The registered full-time internal candidates as per Clause 3.5 below are required to reside for a minimum of three years inside the University to carry out the proposed research work. However, under special circumstances, a candidate may be allowed by the RDC to be outside the campus for the collection of samples and/or analysis for a certain period not more than six months.

3. **Procedure for Admission and Registration**

3.1. The number of seats available for admission, Subject/discipline-wise distribution of available seats, criteria for admission, the procedure for admission, and examiner center where the entrance test shall be conducted, shall be advertised on the University website and through advertisements in national newspapers. A candidate seeking admission in the Ph.D. programme must apply to the university in the prescribed application form. The duly filled application forms and the pertinent documents will be scrutinized prior to issuing the admit card for the entrance exam.

3.2. The admission shall be made on the basis of the Entrance Test (max. 140 marks) followed by an interview (max. 60 marks). The candidates who qualify UGC-NET (including JRF)/UGC-CSIRNET (including JRF)/SLET/GATE/teacher fellowship holder or have passed M.Phil (Regular) programme shall be exempted from the written entrance test. These candidates shall be admitted on the interview-only based merit.

3.3. An Entrance Test shall have the qualifying marks as 50%. The syllabus of the Entrance Test shall consist of 25% of Research Methodology and 25% of Research and Publication Ethics and 50% shall be subject specific.

3.3.1. A relaxation of 5% of marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/OBC (Non-Creamy layers)/ Differently-Abled category in the entrance examination conducted by the Universities.

3.4. All candidates qualified in the written entrance test as well as the exempted candidates shall appear in the interview before Research and Development Sub-Committee (RDSC) (Clause 7). The Candidate has to give a presentation for his proposed topic and also submit a synopsis for the same at the time of the interview. The interview criteria shall cover the subject knowledge, research skill, research temperament, communication/presentation skills, and significance of the proposed research. The candidate has to secure minimum 50% marks in aggregates from both entrance and interview to be eligible for admission in the Ph.D. programme. The candidates shall be admitted strictly on the basis of the combined merit list. The vacant seats may be filled by the wait-listed candidates with the merit from approval of Vice Chancellor.

3.5. The qualified candidates shall be registered as full-time regular Ph.D. scholars whose research workplace is within the University campus (full-time internal) or a reputed and accredited institution/organization with all the necessary research facilities to carry out the proposed research (full-time external). Full-time external candidates generally are on the fellowships or in the funded projects as project fellows and will be required to submit a "No Objection Certificate (NOC)" from the competent authorities of their institution/organization.

3.6. All other candidates may be allowed to register as part-time internal (University employees) or part-time external (who are employed outside the Integral University having required infrastructure for the proposed research) Ph.D. scholars. The part-time external candidates will be required to submit a NOC from the competent authorities of the university/college/institution/employer. The overall number of candidates being registered as part-time Ph.D. scholars shall not exceed 40% of the total intake in the particular Department in that academic session.

3.7. If a registered Ph.D. scholar gets a full-time/part-time job or project fellowship during Ph.D. program at any time before Pre-Ph.D. permission, he/she has to obtain a NOC from both the University and the organization offering the said job/fellowship. The scholar's status shall be changed accordingly by the RDC or RDSC and subsequently shall be governed by the prescribed respective category rules in terms of duration and number of RDCs required for Pre-PhD permission or thesis submission.

3.8. A candidate shall be registered for a Ph.D. programme in the same subject in which he/she has passed his/her qualifying examination. However, based on merit and requirement,

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interdisciplinary research may also be allowed but the candidate will still be registered in the qualifying discipline and not in the associated discipline.

3.9. The University through office of the Dean, Doctoral Studies shall maintain the list of all the Ph.D. registered students on its website on a year-wise basis. The list shall include the name of the registered candidate, the topic of his/her research, the name of his/her supervisor/co-supervisor, date of enrollment/registration.

4. Integral Research Fellowship:

4.1 University has introduced an Integral Research Fellowship (IRF) for the Ph.D. Entrance Test rank holders. Integral Research Fellowship to the tune of Rs. 6000/- per month will be awarded to a meritorious student on top of the merit list in Ph.D. Entrance Test of Integral University in each discipline for a maximum period of three years subject to fulfillment of the condition specified in the Clause 4.3.

4.2 Fellowship will be awarded only to fulltime (Internal) research scholar who are not getting any other fellowship.

4.3 Fellowship shall be renewed every year based on the following:

   (i) Application for extension of fellowship with a satisfactory work performance report duly recommended by Ph.D. Supervisor and signed by HoD.
   (ii) Minimum 80% attendance.
   (iii) Published at least one research article in Scopus/Thomson Reuter/SCI listed journals every year during his Program of study.

5. Allocation of Research Supervisor:

5.1. A Research Supervisor who is a Professor, at any given point in time, cannot guide more than eight (08) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of six (06) Ph.D. scholars while an Assistant Professor as Research Supervisor can guide up to a maximum of four (04) Ph.D. scholars. In addition, maximum 03 Ph.D. scholars can be allocated to a Research Supervisor to act as Co-Supervisor. The above is to be implemented in line with directions issued by regulatory authority i.e. UGC in this case.

5.2. The regular full-time physicians with MD/MS qualifications having minimum 8 years of teaching experience and fulfilling the above conditions for the research publications may also be considered as Research Supervisors.

5.3. The external Supervisors from outside the University are not allowed, however, a Co-Supervisor from the interdisciplinary department of the University or any other recognized institution may be allowed with approval from the RDC.

5.4. The allocation of a Research Supervisor for a selected Ph.D. scholar shall be decided by the RDC or RDSC taking into due consideration the research interest of the scholar, the available specialization among the Supervisors, and the number of scholars per Supervisor.

5.5. In case of Research Supervisor leaving the University on account of resignation, retirement, termination, death, being unapproachable, unwilling to continue to supervise the scholar, scholar unwilling to continue with the assigned supervisor, or in any other circumstances necessitating such as change, the Head of the Department shall recommend a change of Research Supervisor to the RDC. For the interim period normally not exceeding 6 months, RDC may ask the HoD concerned to discharge the duties of the Research Supervisor as an administrative supervisor or assign the scholar to any other eligible Research Supervisor depending on the availability.

5.6. After thesis submission, the supervisor will be deemed as disengaged from that particular scholar and will be eligible to take a fresh Ph.D. scholar.

6. Course Work:

6.1. All the registered Ph.D. scholars shall be required to complete the prescribed coursework during the initial two semesters. The details of the coursework are according to the prevailing UGC guidelines. The maximum mark for each paper will be 100. The course work shall be assigned by the RDC or RDSC after the registration in coordination with the department and research interest shown by the Ph.D. scholars.

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6.2. Grades in the course work, including the Research Methodology shall be finalized after the combined assessment as per the approved evaluation scheme having 80% theoretical written examination and 20% internal evaluation (5% attendance and 15% for assigned presentation). The Ph.D. scholar has to obtain a minimum of 55% of marks in each paper or its equivalent grade in the UGC 7-point scale or an equivalent grade/CGPA in a point scale grading system.

6.3. All Ph.D. scholars are expected to pass the coursework in the first semester in order to start their research work after title and synopsis approval by the subsequent RDC. If the scholar fails to pass in the first attempt, one more attempt may be given to clear the coursework, failing which his/her Ph.D. registration may be canceled. In rare circumstances with justifying evidences, RDC may recommend to the Vice Chancellor for a final attempt to clear the course work paper(s), however, till then the Ph.D. scholar shall not be allowed to undertake any research work.

7. Grades and Credit Points:

The total Credit of the Ph.D. program is 100 where 10 credits are for clearing the course work and 90 credits are to be earned in RDCs.

At the end of the semester, a student is awarded a relative letter grade in each course / RDC based on the performances in all assessments (written examination, oral presentation, progress of research work and attendance) during the PhD program. Seven regular letter grades, namely, O, A, B, C, D, P and F shall be awarded in each course and in each RDC. Each letter grade is associated with a numerical equivalent on a 7-point scale (called *Credit Point*) shown in Table 1.

Total credits of the PhD program: 100 (Course work=10; RDCs=90)

The letter grades and their equivalent grade point applicable for **course work** are given below:

Table 1:

Percentage of Marks Obtained	Letter Grade	Credit points	Performance
90.00 and above	O	10	Excellent
83.00-89.99	A	9	Very good
76.00-82.99	B	8	Good
69.00-75.99	C	7	Fair
62.00-68.99	D	6	Average
55.00-61.99	P	5	Pass
<55.00	F	0	Fail
-	AB	0	Absent

The letter grades and their equivalent credits applicable for **PhD program** are given below. Grade will be awarded during each activity of assessment.

Table: 2
For Full time students:

Year	Activities during the PhD program	Total Credits	Attributes of assessments	Credit points	Letter Grades	Credit Points for letter Grades	Comments
1	Course work	10	Course 1: Research Methodology	4	Letter Grades will be O/A/B/C /D/P X=Unac ceptable I=Absen t	O=10 A=9 B=8 C=7 D=6 P=5	If a student earns three X grades consecutive ly in three RDCs or I grade in two consecutive RDCs, the Ph.D. Program of the student shall be
1	Course work	10	Course 2: Research publication ethics	2			
1	Course work	10	Course 3: Departmental Paper)	4			
1	First RDC	10	Topic/Plan/Clarity	5			
1	First RDC	10	Level/Confidence/Communication /Presentation	5			
2	Second RDC	20	Synopsis/Objective assessment	5			
2	Second RDC	20	Literature review/ Publication preparation/Publication	5			
2	Second RDC	20	Work Progress	5			
2	Second RDC	20	Presentation	5			
2	Third RDC	20	Objective assessment/Methodology	5			
2	Third RDC	20	Literature review/ Publication	5			

Year	Activities	Total Credits	Attributes of assessments	Credit points	Letter Grades	Credit Points for letter Grades	Comments
			preparation/Publication			X=0	terminated.
			Work Progress	5		I=0	
			Presentation	5			
3	Fourth RDC	20	Objective assessment	5			
			Literature review/ Publication preparation/Publication	5			
			Work Progress	5			
			Presentation	5			
	Fifth RDC	20	Student learning outcome	5			
			Data collection, analysis & conclusion	5			
			Publication	5			
			Presentation	5			

Note: If a student could not complete the research work in FIVE RDCs then any additional RDCs he/she may attend will be of 'ZERO' credit.

Table: 3
For Part time students:

Year	Activities during the PhD program	Total Credits	Attributes of assessments	Credit points	Letter Grades	Credit Points for letter Grades	Comments
1	Course work	10	Course 1: Research Methodology	4			
			Course 2: Research publication ethics	2			
			Course 3: Departmental Paper)	4			
	First RDC	10	Topic/Plan/Clarity	5			
			Level/Confidence/Communication /Presentation	5			
2	Second RDC	10	Synopsis/Objective assessment				If a student earns three X grades consecutively in three RDCs or I grade in two consecutive RDCs, the Ph.D. Program of the student shall be terminated.
			Literature review/ Publication preparation/Publication	5	Letter Grades will be O/A/B/C /D/P X=Unacceptable I=Absent	O=10 A=9 B=8 C=7 D=6 P=5 X=0 I=0	
			Work Progress				
			Presentation	5			
	Third RDC	10	Objective assessment/Methodology				
			Literature review/ Publication preparation/Publication	5			
			Work Progress				
			Presentation	5			
3	Fourth RDC	15	Objective assessment/Methodology	5			
			Literature review/ Publication preparation/Publication	5			
			Presentation & Work Progress	5			
	Fifth RDC	15	Objective assessment	5			
			Publication preparation/Publication	5			
			Presentation & Work Progress	5			
4	Sixth RDC	15	Objective assessment	5			
			Publication preparation/Publication	5			
			Presentation & Work Progress	5			
	Seventh RDC	15	Student learning outcome	5			
			Data collection, analysis & conclusion	5			
			Presentation & Publication	5			

Note: If a student could not complete the research work in SEVEN RDCs then further RDCs he/she will attend will be of 'ZERO' credit.

(b) SGPI/ CGPI Calculation:

i. Semester Grade Performance Index (SGPI):

The performance of a learner in a semester is indicated by a number called Semester Grade Performance Index (SGPI). The SGPI is the weighted average of the grade points obtained in all the courses by the learner during the semester. For example, if a learner passes three courses (Theory/labs./Projects/Seminar etc.) in a semester with credits C1, C2 and C3 and learners grade points in these courses are G1, G2, and G3 respectively, then learners' SGPI is equal to:

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$$SGPI = (C_1G_1 + C_2G_2 + C_3G_3) / (C_1 + C_2 + C_3)$$

The SGPI is calculated to two decimal places. The SGPI for any semester will take into consideration the "F" grade awarded in that semester. For example if a learner has failed in course 2, the SGPI will then be computed as:

$$SGPI = (C_1G_1 + C_2 \times Zero + C_3G_3) / (C_1 + C_2 + C_3)$$

8. Research and Development Committee (RDC) and its functions:

8.1. There shall be a separate RDC for each department.

8.2. RDC shall comprise:

1.	Dean, Doctoral Studies	Chairman
2.	Dean, Research & Development	Vice-Chairman
3.	Dean of the faculty concerned	Member Secretary
4.	Head of the Department	Convener
5.	One Internal Expert from the University to be nominated by the Vice-Chancellor or his/ her designate on the recommendation of the Chairperson.	Member
6.	One External Expert of the department not below the rank fan Associate Professor and not belonging to the University to be nominated by the Vice-Chancellor or his designate on the Recommendation of the Chairperson.	Member
7.	Supervisor(s)	Member
8.	Special Invitee(s) by the Chairperson	Invited Member(s)

8.3. RDC shall meet twice in each academic year and half of the members shall form the quorum for a meeting with the essential presence of an external expert. The meeting minutes shall be finalized and signed by the external expert and other members. The minutes shall be forwarded to the HoD for dissemination to the supervisor and/or scholar as per the decision recorded in the meeting minutes.

8.4. **Functions and Duties of RDC:**

8.4.1. To allocate the research supervisors, course work, review the research proposal and finalize the research topic based on the availability of the expertise and infrastructure.

8.4.2. To periodically examine and review the progress of Ph.D. scholar's research work for Evaluation and guidance.

8.4.3. To give permission for pre-PhD presentation to the Ph.D. scholar which may be conducted as an open presentation before the RDSC.

8.4.4. RDC may assign or approve certain functions/duties to the RDSC.

8.5. The Ph.D. scholar has to submit the duly filled and signed Progress Report form at least two weeks before the scheduled RDC meeting to the Departmental Ph.D. Coordinator who in turn will submit them collectively to the Office of Doctoral Studies a week prior to the RDC meeting for review.

8.6. The scholar has to present his/her progress before the RDC. Continuation of the registration of a Ph.D. scholar in the Ph.D. programme will depend on his/her satisfactory progress and conduct.

8.7. In case the progress of the Ph.D. scholar is unsatisfactory, the RDC shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures and again the progress is unsatisfactory, the RDC may recommend specific reasons for cancellation of the registration of that Ph.D. scholar. If a registered Ph.D. scholar fails to appear in two successive RDCs then his/her registration is liable to be canceled. In case, he/she is permitted to continue, the scholar has to deposit a re-admission and/or Continuation fee along with any previous dues.

8.8. A Ph.D. scholar may be normally permitted by the RDC to modify his/her topic of the research and/ or synopsis on the recommendation of the supervisor within the first two RDCs.

8.9. All Ph.D. scholars have to be in regular contact with their Research Supervisor(s) for research guidance and the attendance of the scholars has to be duly recorded and furnished by the Research Supervisor(s) in the Progress Report Form. The poor attendance shall be

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considered as "Unsatisfactory progress" unless certified by the Research Supervisor or Co-Supervisor and HoD with justifiable evidences.

9. **Research & Development Sub-Committee (RDSC):**

9.1. Each RDSC shall comprise:

1.	Dean, Doctoral Studies	Chairperson
2.	Dean, Research & Development	Vice-Chairman
3.	Dean of the faculty concerned	Member Secretary
4.	Head of the Department	Convener
5.	Vice Chancellor Nominee	Member
6.	Special Invitee(s) by the Chairperson	Invited Member(s)

9.2. RDSC shall meet as and when required and 2/3rd of the members shall form the quorum for a meeting.

9.3. **RDSC functions and duties:**

9.3.1. To finalize and advertise the number of vacancies in a Ph.D. program.

9.3.2. To conduct the Entrance examination in coordination with Examination Section and subsequent interview.

9.3.3. To facilitate the admission and registration of a candidate including the NRIs/OCIs/foreign citizens in the Ph.D. programme.

9.3.4. To conduct the open pre-PhD presentations permitted by the RDC.

9.3.5. To evaluate the progress report of the Ph.D. scholar as a special case.

9.3.6. To perform any other task assigned by the RDC.

9.3.7. To redress the special cases which shall be approved by the forthcoming RDC or the Vice Chancellor.

10. **Submission of Thesis and Pre-Ph.D. Presentation.**

10.1. Full-time and part-time Ph.D. scholars shall have to attend and clear at least four (04) and six (06) RDC meetings after successful completion of the course work, respectively.

10.2. Under the CBCS, a minimum of 100 credits including 10 credits for course work and 90 credits for research work have to be earned in order to be eligible for pre-PhD permission.

10.3. Prior to the submission of the thesis, the scholar shall make an open Pre-PhD presentation in front of RDC or RDSC along with the Research supervisor, Co-Supervisor, faculty members, and other research scholars. A draft thesis in the University prescribed format has to be presented during the Pre-PhD presentation. The feedback and comments obtained from them may be suitably incorporated into the draft thesis which shall be communicated through the RDC or RDSC meeting minutes.

10.4. Pre-PhD permission shall not be normally given unless the scholar shows and certified by the supervisor that all the approved objectives have been achieved with at least two research work related publications in Scopus/Web of Science/Thomson Reuter/SCI-indexed journals before the submission of the thesis for adjudication, and produce evidence for the same in the form of certificates, acceptance letters and/or reprints. The same for Faculties of Humanities and Social Sciences and Education will be two publications out of which one should be in UGC Care and other should be in Science/Thomson Reuter/SCI-indexed journals.

10.5. After a successful Pre-Ph.D. presentation, the scholar has to submit a hard-bound thesis and a soft copy using the duly filled thesis submission form and other relevant documents/ forms/ certificates/ annual Ph.D. fee receipts within FOUR months from the date of the Pre-Ph.D. presentation. In case, a Ph.D. scholar fails to submit within the stipulated time, he/she may need to take fresh permission from RDC or RDSC to submit it within four months which will be the final permission. Failure to submit his/ her thesis again, the registration is liable to be canceled.

10.6. Final submission of the hard-bound thesis.

10.7. The scholars shall have to deposit the annual Ph.D. fee and any other fee on their due dates until the thesis is submitted even if granted an extension to submit the thesis. In addition, the scholar has to deposit the prescribed thesis submission and plagiarism check fee prior to submitting his/her thesis.

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11. Evaluation of Thesis:

11.1. Initial review of the submitted thesis will be conducted by the Office of the Dean, Doctoral Studies for plagiarism, any other forms of academic dishonesty, and correctness of the English language. Further, the scholar has to give an undertaking and a certificate from the Research Supervisor(s) attesting to the originality of the work, vouching that there is no plagiarism. The accepted plagiarism shall be below 15%.

11.2. A panel of six external experts duly qualified to examine the thesis shall be prepared and out of which at least four of the examiners in the panel shall be from outside the State of Uttar Pradesh, with preferably one from outside the country. The Vice-Chancellor will approve two external examiners from the panel. The Research Supervisor(s) shall act as an internal examiner(s).

11.3. The thesis shall be sent to the approved examiners for evaluation. A maximum of three months shall be given to the examiners for sending the evaluation report in the prescribed format, failing which the Vice Chancellor may appoint an alternate examiner from the panel to complete the entire evaluation process and viva voce examination within a period of six months from the date of submission of thesis.

11.4. The examiners shall specifically report and recommend whether the thesis be:

11.4.1. Approved as it is

OR

11.4.2. To be resubmitted after revision/ extension/ modification of work.

OR

11.4.3. Rejected

11.5. The compiled reports shall be communicated to the Research Supervisor. The revised thesis along with the rebuttal of the comments/suggestions highlighting the text in the revised thesis where the revision took place shall be submitted to the Office of the Research and Development for review. The scholar and the Research Supervisor have to provide a certificate in writing that all the modifications/ suggestions in the thesis by the examiner have been appropriately incorporated.

11.6. If one of the external examiners approves the thesis and the other examiner recommends revision and/or clarifications, the scholar's responses will be communicated to the concerned examiner. A Viva voce examination may be conducted following a positive reply from the concerned examiner. In case of a negative reply from the concerned examiner, the scholar shall be given one more chance to rectify the comments to the satisfaction of the concerned examiner and/or RDC Chairman.

11.7. If the Ph.D. scholar is required to revise the thesis by both the examiners, the scholar shall revise the thesis within a maximum of one year from the date of the communication. The Ph.D. scholar shall have to pay an additional examination and another pertinent fee as prescribed by the University from time to time for resubmission of his/her thesis.

11.8. The submitted thesis shall be examined by all the examiners. A copy of each of the previous evaluation reports shall be sent to the examiners. The examiners shall recommend whether the scholar has incorporated all the comments in the revised thesis which is to be approved or rejected.

11.9. If one of the evaluation reports of the external examiner is unsatisfactory and does not recommend viva voce, the University shall send the thesis to another external examiner out of the approved panel of examiners and the viva voce examination shall be held only if the report of the latter examiner is satisfactory. If the report of the latter examiner is also unsatisfactory, the thesis shall be rejected and their search scholar shall be declared ineligible for the award of the degree.

11.10. If both external examiners reject the thesis in the first place, the thesis shall stand rejected.

11.11. The viva voce examination shall be conducted by the RDC Chairman, Research Supervisor, and at least one of the two external examiners appointed by the Vice Chancellor, and shall be open to all the students and staff of the University. In case of the inability of the external examiners to attend the viva voce examination of the Ph.D. scholar in the subsequent two months, the Vice Chancellor may appoint another external examiner for conducting the viva voce examination who will be given suitable time to go through the thesis.

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11.12. The external and internal examiners shall give a clear recommendation for the award of a Ph.D. degree based on the satisfactory performance of the scholar during the viva voce examination. In case the scholar is required to re-appear for a viva voce examination before the same Board of examiners, it shall not be earlier than two months from the date of the first viva voce examination. If the performance of the Ph.D. scholar at the second viva voce examination is unsatisfactory, the thesis shall be rejected.

11.13. Every effort shall be made to complete the entire process of thesis evaluation within a period of six months from the date of submission of the revised thesis after an initial review by the Office of Dean, Doctoral Studies.

12. Award of Ph.D. Degree:

12.1. After the successful viva voce examination, the Ph.D. scholar shall submit three hard-bound and two soft copies of the thesis to the Office of Dean, Doctoral Studies.

12.2. The Ph.D. scholar shall be eligible for the award of Provisional Certificate, provided the Examination sub-committee recommends and the Vice-Chancellor approves the same.

12.3. The Ph.D. degree will be awarded in the Convocation. The date of the eligibility of the scholar for the Ph.D. degree shall be the date of approval of the examination sub-committee's recommendation by the Vice Chancellor.

12.4. The award of a Ph.D. degree can be withdrawn at any time in case the thesis submitted by the scholar is found to be an act of plagiarism or any other academic misconduct and the examination sub-committee and the Academic Council recommend withdrawal of the Ph.D. degree and the Executive Council approves it.

13. Depository with INFLIBNET

13.1. Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree, the University shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/ Universities/ Colleges.

14. Admissions of International Scholars:

14.1 International scholars shall be exempted from taking the Ph.D. Entrance Test as they cannot be admitted without a valid Research Visa endorsed in favor of a given University as per the guidelines issued by Government of India. The admission letter issued by a given university forms the basis for our embassy/high commission abroad to issue them a valid Research Visa endorsed in favor of such a University for the entire duration of their Ph.D. Programme. It applies also to International students possessing a foreign passport who has obtained a Master degree from any of the UGC recognized Indian Universities.

14.2 Any recognized Ph.D. Guide in the subject-discipline in which an International Scholar has applied for admission shall be allowed to take International Scholar applicants against 15% supernumerary seats in addition to the prescribed number of scholars.

14.3 An International Scholar admitted and registered for the Pre-Ph D course work as a part time scholar in this University who does not reside on the campus shall be required to stay on the campus for at least three months in parts: for ten days to complete the process of admission, and shall attend the course work during the first year of admission. However if a candidate is unable to attend the course work offline due to technical reasons, then as a special case the candidate may be allowed to attend the course work online up on an application citing the reasons. After registration to the Ph.D programme, the candidate shall be required to stay on campus at least a month every year for fulfilling other requirements outlined in these rules. Before submitting the thesis the candidate shall attend stipulated number of RDCs and shall present the progress of research work in it. The research scholar's Guide and the Department concerned shall keep a record of his/her attendance and submit the same to the University time to time.

14.4 Physical presence will be mandatory for the International scholars during admission process, Pre-PhD Course Work examination, Pre-PhD presentation and Viva Voce examination. Except these special provisions made for the International Scholars for admission in PhD program, all the other rules applicable to International/Foreign students of this University shall also be applicable to the International/Foreign Research Scholars.

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Prof. Mohammed Haris Siddiqui
Registrar
Integral University, Lucknow